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P24175.A03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: Unknown

Examiner: Unknown

Applicants

: Hiroshi SHINGAI et al.

Appln. No.

: 10/657,232

Filed

: September 9, 2003

For

: OPTICAL RECORDING MEDIUM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97, and 1.98, and further to the Information Disclosure Statement filed December 9, 2003, Applicants hereby bring the following information to the attention of the Examiner in charge of the above-identified application, which information is cited and discussed in the European Search Report issued in connection with counterpart European Application No. 03 01 9696. A copy of the European Search Report is being submitted herewith. The Examiner is invited to review the European Search Report to inspect the relevance indicated during European examination with respect to the documents cited therein.

EP 1 260 973;

WO 01/85464;

EP 1 281 537;

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U.S. Patent No. 6,383,595;

EP 1 132 904;

U.S. Patent No. 5,912,104;

U.S. Patent No. 5,498,507;

EP 1 143 432;

U.S. Patent No. 4,460,636;

U.S. Patent No. 6,096,399;

U.S. Patent No. 5,569,517; and

Patent Abstracts of Japan, Vol. 014, No. 424 (M-1024), September 12, 1990, accompanied by family member JP 02-167790.

Copies of the above-noted documents are enclosed together with a duly completed Form PTO-1449. The Examiner is accordingly requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form-1449. Applicants respectfully request that the Examiner include a copy of the initialed Form PTO-1449 with the next communication from the U.S. Patent and Trademark Office.

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R 1.98 (a)(2)(i), copies of the U.S. patents and U.S. published patent applications are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Applicants note that while this Supplemental Information Disclosure Statement is being filed more than three months from the filing date, Applicants have not received an action on the

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merits from the U.S. Patent and Trademark Office. Accordingly, consideration of the enclosed document is required under 37 C.F.R. 1.97(b)(3).

However, if an action on the merits has been mailed prior to the filing date of this Supplemental Information Disclosure Statement, Applicants hereby authorize the charging of any required fees necessary for consideration of the documents cited herein to Deposit Account No. 19-0089.

Any comments or questions concerning this application can be directed to the undersigned at the telephone number given below.

Respectfully submitted, Hiroshi SHINGAI et al.

Bruce H. Bernstein

Mo-33, U9 9

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February 5, 2004 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191

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Sheet <u>1</u> of <u>1</u>

Form	PTO	<u>ገ-</u> 1	1440

U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. P24175

Serial No. 10/657,232

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant Hiroshi SHINGAI et al.

Filing Date Group September 9, 2003 Not Known **U.S. PATENT DOCUMENTS EXAMINER** FILING DATE DOCUMENT NUMBER INITIAL DATE NAME **CLASS SUBCLASS** IF APPROPRIATE 3 5 05/07/02 HIROTSUNE et al. 2 0 4 06/15/99 HIROTSUNE et al. 9 5 0 7 03/12/96 8 HANDA et al. 6 6 07/17/84 WATANABE 6 08/01/00 YOSHINARI et al. 5 7 10/29/96 TOMINAGA et al. FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT NUMBER DATE **COUNTRY CLASS SUBCLASS** YES NO 11/27/02 E.P.O. 0 8 5 6 4 W.I.P.O. 11/15/01 7 8 5 3 02/05/03 E.P.O. 2 9 E.P.O. 3 4 09/12/01 3 3 2 10/10/01 E.P.O. 1 2 7 7 9 0 06/28/90 JAPAN OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Patent Abstracts of Japan, Vol. 014, No. 424 (M-1024), September 12, 1999. DATE CONSIDERED **EXAMINER**

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance

and not considered. Include copy of this form with next communication to applicant.